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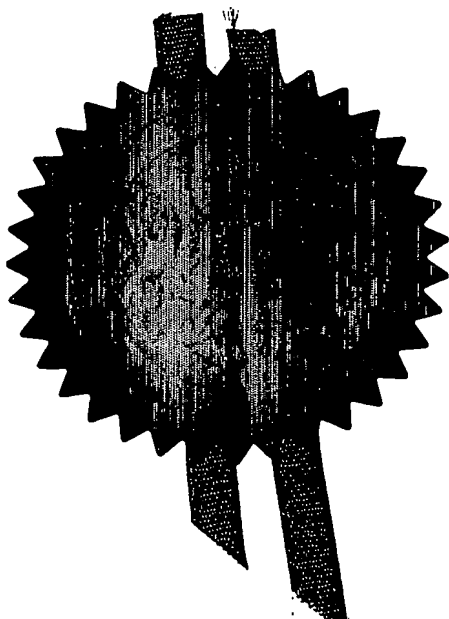
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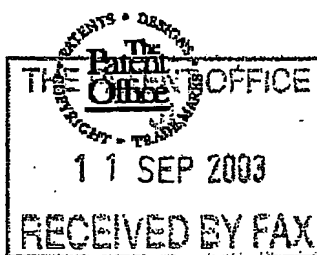
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2. Patent application number  
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0321286.7

3. Full name, address and postcode of the or of each applicant (underline all surnames)

08712010001

Patents ADP number (if you know it)

Safeglass (Europe) Limited  
Whitworth Building  
Scottish Enterprise Technology Park  
East Kilbride  
GLASGOW  
G75 0QD

If the applicant is a corporate body, give the country/state of its incorporation

UK

4. Title of the invention

Improved container

5. Name of your agent (if you have one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

Kennedys Patent Agency Limited  
Floor 5, Queens House  
29 St Vincent Place  
Glasgow  
G4 2DT

Patents ADP number (if you know it)

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Country

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Number of earlier application

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8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

Yes

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Description

14

Claim(s)

Abstract

Drawing(s)

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Priority documents

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Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

Any other documents

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11.

I/We request the grant of a patent on the basis of this application.

Signature  
KENNEDYSDate  
11 September 2003

12. Name and daytime telephone number of person to contact in the United Kingdom

Karen Veitch

Tel: 0141 226 6826

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1 Improved Container

2

3 The present invention relates to a container for  
4 foodstuffs and beverages which has improved safety  
5 characteristics when compared to conventional containers.

6

7 Traditionally, the containers in which alcoholic drinks,  
8 carbonated soft drinks and oxygen sensitive juices are  
9 sold are manufactured from glass. Glass bottles are well  
10 received by consumers as they impart the impression of a  
11 high quality product and have "chink factor".  
12 Nevertheless, the use of bottles is inherently dangerous,  
13 as glass is easily broken. It will be appreciated that  
14 this is a particular problem in bars, pubs and  
15 nightclubs, where accidental breakage of glass bottles,  
16 is a potential health risk.

17

18 Glass bottles are also disliked as they can be used  
19 deliberately, as weapons, to inflict damage on other  
20 persons. In fact, safety regulators have actively  
21 encouraged drinks manufacturers, as well as  
22 establishments which serve drinks and alcohol, to use  
23 bottles and glasses made from non-dangerous materials, in

1 order to reduce the number of serious injuries caused by  
2 glass and bottle attacks.

3

4 In recent years there has been a move towards providing  
5 bottles manufactured from materials which are not as  
6 dangerous as glass. It is estimated that packaged beer  
7 production world wide in 1996 was 106.6 billion litres,  
8 requiring 186.2 billion bottles and 73.7 billion cans.  
9 The beer bottle market was forecasted to grow at an  
10 annual rate of three percent through 2001 to 216 billion  
11 units. Most bottle production makes use of glass with  
12 only 0.1 billion plastic bottles being utilised in 1996.  
13 However due to the push towards increased safety it is  
14 estimated that the demand for plastic bottles is forecast  
15 to reach 2.5 billion by 2006.

16

17 The focus on safer bottles is particularly important with  
18 respect to alcoholic drinks, which are consumed in bars  
19 and nightclubs. PET, poly(ethylene terephthalate), a  
20 plastic which can be readily manufactured into bottles,  
21 and which does not break as readily as glass, has already  
22 been used for this purpose.

23

24 However the use of PET poses its own problems to the  
25 industry. PET is a relatively expensive material and not  
26 cheap to process which makes it a less popular option for  
27 drinks manufacturers. In addition, there is a general  
28 consensus that plastic bottles are not as well received  
29 by the public as they feel cheaper and do not have the  
30 same high quality feel as glass.

31

1 It is an object of the present invention to overcome the  
2 problems that are described above with reference to glass  
3 and existing plastic bottles.

4  
5 According to a first aspect of the present invention,  
6 there is provided a container manufactured from a  
7 material that shatters when broken into fragments which  
8 do not cut, puncture or otherwise damage human skin or  
9 tissue.

10

11 The container may be a bottle, glass, tumbler, or the  
12 like.

13

14 Preferably the material is comprised of an amorphous  
15 thermoplastic polymer and one or more low molecular  
16 weight resins.

17

18 Preferably the amorphous thermoplastic polymer is chosen  
19 from the group consisting of:

20

- 21 • polystyrene (PS)
- 22 • styrene-acrylonitrile co-polymer (SAN)
- 23 • linear polyesters and co-polyesters
- 24 • polycarbonate (PC)

25

26 Preferably the one or more low molecular weight resins  
27 are hydrocarbon resins.

28

29 Preferably the one or more low molecular weight resins  
30 are aromatic hydrocarbon resins

31

32 The one or more low molecular weight resins chosen will  
33 be completely compatible with the chosen polymer. For

1 example, in the case of polystyrene, the low molecular  
2 weight resin will typically be C9 aromatic hydrocarbon  
3 resin.

4

5 Preferably the material has a tensile stress limit  
6 between 11 and 60 Nmm<sup>-2</sup>.

7

8 Preferably the one or more low molecular weight  
9 hydrocarbon resins are selected from a group consisting  
10 of:

11

12 • Norsolene™

13 • Krystalex™

14 • Plastolyn™

15 • Endex™

16 • Piccotex™

17 • Piccolastic™

18 • Sukorez™

19 • Arkon™

20

21 Most preferably the one or more low molecular weight  
22 hydrocarbon resins are selected from a group consisting  
23 of; Norsolene W90™, Norsolene W100™, Norsolene W110™,  
24 Kristalex F85™, Kristalex F100™, Kristalex F115™,  
25 Plastolyn 240™, Plastolyn 290™, Endex 155™,  
26 Piccolastic D125™, Sukorez 100™, Sukorez 120™, Arkon  
27 P100™, Arkon P125™, Arkon P140™, Piccotex 75™,  
28 Piccotex 100™ or Piccotex 120™.

29

30 Preferably the low molecular weight resin will have a  
31  $\overline{M}_n$  (number average molecular weight) such that it has

1 less than 500 repeating units, and preferably less than  
2 50 repeating units.

3

4 The container may be manufactured from the material using  
5 injection blow moulding and/or injection stretch blow  
6 moulding techniques.

7

8 Alternatively, the container may be manufactured from the  
9 material using extrusion blow moulding.

10

11 Optionally the material of the container may also  
12 comprise a oxygen barrier. The material of the container  
13 may also comprise oxygen scavengers.

14

15 The barrier included in the material of the container may  
16 be selected from the group consisting of: acrylonitrile-  
17 methyl acrylate copolymer, ethylene vinyl alcohol (EVOH)  
18 or nylon MXD6.

19

20 Preferably the barrier is Barex™. Most preferably the  
21 barrier is Barex™ 210 or Barex™ 218.

22

23 In the embodiment where nylon MXD6 is used as a barrier,  
24 the oxygen scavenger may be X-312. Amosorb 3000, or a  
25 scavenger of MXD6 with metal catalysed oxygen reduction  
26 chemistry may also be used.

27

28 The barrier may be overmoulded or sprayed onto the  
29 container or alternatively may be included in the  
30 material of the container, using co-injection techniques.

31

32 The container may also have an inorganic coating. This  
33 may be a thin layer of amorphous carbon. The inorganic



1 coating may be applied to the inside surface of the  
2 container. Typically the inorganic coating will be  
3 applied in a layer of 100 to 200nm thickness. The layer  
4 may be applied by spraying.

5

6 The container may also have an external organic coating.  
7 The external organic coating may be PVDC or a two  
8 component epoxyamine.

9

10 The container may be manufactured from multiple layers of  
11 the material. Two or more layers of the container may be  
12 combined to act as an improved oxygen barrier.

13

14 Optionally the material of the container may also include  
15 UV inhibitors, antioxidants, flow modifiers, colour  
16 pigments and brighteners as known in the art.

17

18 Preferably as the amorphous thermoplastic polymer is  
19 mixed with the one or more low molecular weight  
20 hydrocarbons, the glass transition temperature is  
21 elevated. Preferably the material of the container has a  
22 glass transition temperature of above 80°C.

23

24 Potential uses of the container are not limited. For  
25 example, the container may be used for beer, carbonated  
26 soft drinks, oxygen sensitive juices, beverages or milk

27

28 A container having improved safety characteristics is  
29 manufactured from a material comprised of an amorphous  
30 thermoplastic polymer and one or more resins. The resins  
31 are aromatic hydrocarbon resins and are selected from a  
32 group consisting of Norsolene<sup>TM</sup>, Krystalex<sup>TM</sup>, Plastolyn<sup>TM</sup>,  
33 Endex<sup>TM</sup>, Sokorez<sup>TM</sup>, Arkon<sup>TM</sup>, Piccolastic<sup>TM</sup> and

1 Piccotex<sup>TM</sup>, and in particular Norsolene W90<sup>TM</sup>, Norsolene  
2 W100<sup>TM</sup>, Norsolene W110<sup>TM</sup>, Kristalex F85<sup>TM</sup>, Kristalex F100  
3 <sup>TM</sup>, Kristalex F115<sup>TM</sup>, Plastolyn 240<sup>TM</sup>, Plastolyn 290<sup>TM</sup>,  
4 Endex 155<sup>TM</sup>, Piccolastic D125<sup>TM</sup>, Sukorez 100<sup>TM</sup>, Sukorez  
5 120<sup>TM</sup>, Arkon P100<sup>TM</sup>, Arkon P125<sup>TM</sup>, Arkon P140<sup>TM</sup>, Piccotex  
6 75<sup>TM</sup>, Piccotex 100<sup>TM</sup> or Piccotex 120<sup>TM</sup>. In a particular  
7 embodiment the one or more low molecular weight resins  
8 are C9 hydrocarbon resins. The one or more low molecular  
9 weight resins have an  $\overline{M}_n$  (number average molecular  
10 weight) such that it has less than 500 repeating units.  
11 In one particular envisaged embodiment the one or more  
12 low molecular weight resins have less than 50 repeating  
13 units. The resin or resins chosen will be selected  
14 on compatibility with the chosen polymer.

15  
16 Low molecular weight in resins is a function of the  
17 length of the chains in the resin. In this case the  
18 hydrocarbon resins have a very low molecular weight, too  
19 low in fact for the resins to be of any use as a  
20 structural plastics material on their own, and are  
21 difficult to mould. By mixing low molecular weight  
22 hydrocarbon resin with polystyrene, the stress limit of  
23 the polystyrene is reduced giving the material the  
24 characteristics described in the present Application.

25  
26 The amorphous thermoplastic polymer is chosen from the  
27 group consisting of polystyrene (PS, styrene-  
28 acrylonitrile co-polymer (SAN), linear polyesters and co-  
29 polyesters and polycarbonate (PC). These can be mixed,  
30 blended or polymerised with the one or more low molecular  
31 weight resins. UV inhibitors, dyes, antioxidants, flow  
32 modifiers, colour pigments and brighteners can also be  
33 added to change or adapt the appearance of the container.

1

2 The container herein described has many characteristics  
3 similar to an ordinary glass bottle - i.e. clarity,  
4 rigidity and brittleness. However when broken, the  
5 bottle shatters into fragments which are harmless and  
6 cannot be used to cut or pierce human skin.

7

8 The material used to manufacture the container is  
9 fundamentally a blend of a rigid and normally brittle  
10 amorphous thermoplastic with a glass transition  
11 temperature  $T_g$  at least  $50^\circ \text{C}$  above ambient and one or  
12 more compatible low molecular weight resins. A rigid and  
13 normally brittle amorphous thermoplastic polymer is  
14 blended with one or more low molecular weight resins  
15 which have a  $\overline{M}_n$  (number average molecular weight) such  
16 that the resin has less than 500 repeating units,  
17 preferably less than 50 repeating units. The one or more  
18 low molecular weight resins have a weight average  
19 molecular weight of 6050 or below. The material is, by  
20 design, manufactured to break between 11 and 60  $\text{Nmm}^{-2}$ .

21

22 The material can be heated and made into the desired  
23 shape of the container, i.e. a bottle, glass or tumbler,  
24 by any suitable technique known to the art e.g. injection  
25 moulding, extrusion blow moulding or pre-form injection  
26 blow moulding techniques.

27

28 The container may be manufactured from one or more layers  
29 of the material. More than one layer may be used to  
30 provide improved oxygen barrier characteristics.  
31 Alternatively the container may be coated with an oxygen  
32 barrier. Conventional coating technologies can be broadly  
33 divided into two categories. The first are those that

1 use vacuum or plasma routes to deposit very thin films of  
2 materials, such as carbon or silica, onto the surface of  
3 the article being coated. The second, rely on the  
4 atomised spraying of liquid organic materials onto the  
5 external surfaces of the bottle. Ideally all coating  
6 materials must not interfere with the economics of  
7 recycling, nor detract from the bottle's appearance, but  
8 a significant further consideration with thin film  
9 internal deposits is the need for the materials to be  
10 approved for food contact.

11

12 As the container described herein is manufactured from  
13 the material at lower processing materials than  
14 conventional plastics, barriers which are not usually  
15 suitable for this purpose can be used. For example the  
16 container can be coated in Barex™ (acrylonitrile-methyl  
17 acrylate copolymer), and in particular Barex™ 210 or  
18 Barex™ 218, which has high oxygen barrier properties.  
19 This can be achieved either by overmoulding, spraying or  
20 co-injection techniques. The barrier could alternatively  
21 be acrylonitrile-methyl acrylate copolymer, ethylene  
22 vinyl alcohol (EVOH) or nylon MXD6. The barrier could be  
23 provided on the inside or outside of the container.

24

25 Oxygen scavengers such as all polyester Amosorb 3000 or  
26 X-312 scavenger may be used. These Oxygen scavenging  
27 materials can be incorporated into the material of the  
28 container to react with the gas before it reaches the  
29 contents. Amosorb 3000 or X-312 scavenger have particular  
30 application when the barrier selected is MXD6 nylon. With  
31 these types of active oxygen scavenging packages, shelf  
32 life performance is determined solely by the rate of  
33 carbonation loss and CO<sub>2</sub> loss is reduced by the presence

1 of the MXD6 as a physical barrier. A scavenger of MXD6  
2 with metal catalysed oxygen reduction chemistry may also  
3 be used (Oxbar). This system reacts very quickly with  
4 oxygen in the container and has a high oxygen capacity,  
5 ensuring a long active life.

6  
7 The container may also have an inorganic coating such as  
8 amorphous carbon. This can be sprayed onto the surface  
9 of the container being coated. The inorganic coating can  
10 be applied either to the inside or outside of the bottle  
11 after blowing. Plasma-applied coatings, using carbon or  
12 silicon, which have recently been developed, may be used.  
13 The Sidel Actis™ and Kirin DLC™ coating technologies can  
14 be used produce a thin layer of amorphous carbon,  
15 typically 100 to 200nm thick, on the inside surface of  
16 the container. This is deposited from a high-energy  
17 plasma of acetylene gas within a high vacuum environment.  
18 The coating provides an excellent barrier to both O<sub>2</sub> and  
19 CO<sub>2</sub>, and, because it is on the inside of the container,  
20 prevents the O<sub>2</sub> dissolved in the material of the container  
21 from migrating into the contents of the container during  
22 the first few weeks of storage.

23  
24 Because the deposited layers are fundamentally brittle,  
25 they have to be extremely thin in order not to flake off  
26 under container stresses, caused by bottle expansion and  
27 creep when the bottle is filled, and under pressure from  
28 the contents. Other factors include damage and scuffing  
29 due to bottle handling, but these clearly do not affect  
30 the integrity of the coating if it is on the inside. The  
31 barrier performance improvements of carbon coatings are  
32 similar to those achieved by organic coatings, again

11

1 giving a longer potential retail shelf life of around  
2 nine months.

3

4 Silica technologies such as Glaskin and BestPet can also  
5 be used. These rely on the application of a  $\text{SiO}_x$  vacuum  
6 plasma coating, to give a barrier layer between 40 and  
7 60nm thick. While the Glaskin process applies the glass  
8 clear coating to the inside of the bottle, the BestPet  
9 technique applies it to the outside.

10

11 As an alternative an organic coating may be used.  
12 External organic coatings have been known and used in the  
13 industry since the early 1980s. In the mid 1990s, barrier  
14 coating solutions based on two component epoxyamine  
15 chemistry (Bairocade) were developed, first to lengthen  
16 the shelf life of the smaller soft drink sizes in hotter  
17 climates, and then for beer. These provide a  
18 transparent, glossy, external spray coating which is an  
19 excellent barrier to migration of  $\text{CO}_2$  and  $\text{O}_2$ , and is  
20 unaffected by humidity. The low temperature thermoset  
21 cure provides a tough film, robust to filling and  
22 handling conditions.

23

24 Typically the coating will be applied to the container at  
25 thicknesses between 6µm and 10µm, and allow the use of  
26 standard resins and preforms with existing injection and  
27 blow moulding equipment. The use of such coatings  
28 provides a performance improvement which is around 19  
29 times better than an uncoated container and translates  
30 into a longer retail shelf life. The external organic  
31 coating may be PVDC two component epoxyamine.

32

12

1 The alternative approach to improving the gas permeation  
2 properties of the container material is to manufacture it  
3 from multiple layers of the material. In other words,  
4 two or more layers of the container may be combined to  
5 act as an improved oxygen barrier. Final shape blowing  
6 produces a bottle with up to seven different polymer  
7 layers, which either act as a physical barrier to gas  
8 permeation, or are chemically active in scavenging oxygen  
9 from the material of the container and intercepting  
10 oxygen diffusing in from outside.

11  
12 The material herein described has an elevated glass  
13 transition temperature, which is much higher than the  
14 glass transition temperature of, for example, PET. PET  
15 has a glass transition temperature that is lower than the  
16 pasteurisation temperature used in the beer industry. As  
17 a result when PET is used in the manufacture of bottles,  
18 creep may occur during filling. In other words the  
19 material expands, which causes deformity of the bottle.  
20 This problem is eliminated using the material herein  
21 described as the glass transition temperature is above  
22 the pasteurisation temperature used during filling.

23  
24 Furthermore, bottles made from PET are generally filled  
25 using flash pasteurisation, as opposed to full  
26 pasteurisation, which the industry prefers. Full  
27 pasteurisation is generally more efficient which results  
28 in a longer shelf life for the product. However full  
29 pasteurisation is not generally used with PET materials.  
30 A particular advantage of the material herein described  
31 is that because it has an elevated glass transition  
32 temperature, it can withstand full pasteurisation.

33

1 It has been discovered that using the above described  
2 material a container such as a bottle, glass or tumbler  
3 can be manufactured which does not cut, puncture or  
4 otherwise damage human skin or tissue when broken. In  
5 other words, the container will shatter into harmless  
6 fragments, shards or pieces when broken.

7

8 A particular advantage of the container described herein,  
9 lies in the fact that even though it does not shatter  
10 into dangerous fragment when broken, it has a similar  
11 quality feel as glass, and has improved aesthetic  
12 qualities over existing plastics such as PET. The  
13 material herein described for use in manufacturing a  
14 container, is relatively light and glass-like to touch  
15 and as it is a polymer is can be processed, for example  
16 by including oxygen barriers during production.  
17 Importantly, the material is thicker than an equivalent  
18 PET bottle so has a more glass-like feel but can be  
19 manufactured into containers without an increase in cost.

20

21 Example 1

22

23 An 85% mix of polystyrene polymer and 15% resin is used  
24 to manufacture a bottle with improved safety  
25 characteristics. The 15% resin maybe comprised of a  
26 single resin selected from the group consisting of  
27 Norsolene™, Krystalex™, Plastolyn™, Endex™, Sokorez  
28™, Arkon™, Piccolastic™ and Piccotex™, or may be a  
29 combination of two or more of the above. Plastolyn™ is  
30 particularly suitable for this purpose. The resin or  
31 resins are selected to achieve a desired molecular weight  
32 range.

33



14

- 1 Further modifications and improvements may be added
- 2 without departing from the scope of the invention herein
- 3 intended.

4

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